

## National\Regional Eligibility Criteria

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## Austria (FWF)

### **FWF Eligibility Requirements for CHANCE applicants based in Austria**

#### **Who can apply?**

Eligible to apply are researchers working in Austria who possesses excellent research qualifications, sufficient time resources, and has access to the infrastructure necessary to carry out the project submitted. No specific academic title is needed, nor is Austrian citizenship required; however, the project must be carried out in Austria or under the auspices of an Austrian research institution at which the principal investigator works. Applications may only be submitted by an individual natural person; institutions or companies may not apply.

Please note that the number of ongoing/approved FWF-funded projects in which one researcher can serve as principal investigator is limited to three in the Stand-Alone projects, International Programmes, Clinical Research and Arts-Based Research programmes. Further information on restrictions concerning the number of ongoing projects and limits on the submission of applications can be found at [Restriction on the number of projects](#).

For information on submitting an application from abroad, see the FWF website at [Applications from abroad](#).

#### **What requirements must be met to apply?**

Researchers are eligible to apply if their publication record over the last five years has been internationally visible and if their current career stage is commensurate with the career progression expected in their field. The following criteria are decisive in assessing their publication record—documented in the “Publication list” — and in initiating the review process:

- **Quality assurance:** Most relevant in assessing the Principal Investigator’s or Project Leader’s publication record are those publications that have undergone a quality assurance procedure in line with international standards (peer review or an equivalent procedure; in the natural and life sciences, peer review is expected). Journals must usually be listed in Web of Science, Scopus, or the Directory of Open Access Journals (DOAJ). In the case of journals that are not listed in these databases, or in the case of monographs, edited volumes, contributions to edited volumes, or other publication types, the applicant must provide a link to the publisher’s website, describing the respective quality assurance procedure. If no description should be available, it is the applicant’s responsibility to provide evidence that the publication has been subject to an appropriate quality assurance procedure.
- **International visibility:** Most of the Principal Investigator’s or Project Leader’s publications must have a wider than national reach. In the natural sciences, life sciences, and social sciences, most of the publications listed must be in English.
- **Number/scope and quality** of the Principal Investigator’s or Project Leader’s publications must be commensurate with the expected career progression and the field concerned. At least

two publications must have undergone a quality assurance procedure and must be internationally visible with a substantial and independent contribution on the part of the applicant. At least one first-or last-author publication is required in the life sciences.

Should a Principal Investigator or Project Leader fail to meet one or more of the above criteria, the applicant must include an explanation with the application. In cases of doubt, the decision-making bodies of the FWF shall decide whether the research qualifications are adequate.

### **Consideration of career breaks**

The FWF will take justified career breaks (e.g., parental leave, caring for a family member, or long-term illness) into consideration in assessing the applicant's eligibility to apply. Relevant information can be included in the academic CV and thus also be available to the reviewers.

### **Inclusion of disabled and chronically ill people**

The FWF will also take any exceptions to typical career paths due to disability and/or chronic illness into consideration in assessing the applicant's eligibility to apply. Relevant information can be included in the academic CV and thus also be available to the reviewers.

### **What types of funding can be requested?**

Project-specific costs are eligible for funding. These include personnel and non-personnel costs that are necessary for carrying out the project and that go beyond the resources provided by the infrastructure of the research institution. The FWF does not finance the infrastructure or basic equipment of research institutions.

For information on requesting funding for the personnel costs of the principal investigator (= applicants who intend their salary to be paid from the grant), see [Information on funding the principal investigator's \(PI's\) salary](#).

The National Research Partner form should be completed for costs arising from the collaboration with [national research partners](#) that are not invoiced to the principal investigator, but have to be handled directly between the research institution of the national research partner and the FWF.

Please note that exaggerated costs may represent a reason for rejecting an application, even one that is considered excellent in terms of content.

### **Submission requirements**

In addition to the application at the call secretariat administrative and financial data as well as project abstracts (in accordance with the FWF guidelines for stand-alone projects) must be submitted online to the FWF at <https://elane.fwf.ac.at>. In case of a two-step application procedure this is required already at the preproposal stage via the programme category "IK – International Projects (preproposal)".

For submissions to be valid, the cover sheet generated at the end of the online submission process must be printed out and signed. It can then either be sent to the FWF by conventional mail (FWF, Sensengasse

1, 1090 Vienna) or scanned in, given a digital signature and sent to the FWF ([office@fwf.ac.at](mailto:office@fwf.ac.at)) as an e-mail attachment.

**Please complete and upload the Austrian financial budget table as an individual annex to the national FWF application system.**

### **Contact**

Dr Simon Hadler, [simon.hadler@fwf.ac.at](mailto:simon.hadler@fwf.ac.at)

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## Belgium/Wallonia-Brussels (F.R.S.-FNRS)

### **F.R.S.-FNRS Eligibility Requirements for CHANSE applicants based in French speaking Belgium**

#### **F.R.S.-FNRS participation scheme**

The F.R.S.-FNRS participates with its '[Projets Multilatéraux \(PINT-Multi\)](#)' funding scheme, which implies the funding of International research projects. Accordingly, the [PINT-Multi regulations](#) apply.

#### **Who can apply, eligible costs?**

All eligibility rules and criteria can be found in the PINT-MULTI regulations. **This call is co-funded by the European Commission (see article III.6).**

“Overhead” is not an eligible cost. If the project is selected for funding, these costs will be subject to a separate agreement between the institution of the beneficiary and the F.R.S.-FNRS.

#### **Maximum amount of requested funding**

The maximum amount of requested funding per project is **200.000 EUR** for a total period of three years. If the project involves the recruitment of a PhD student, the project duration of the F.R.S.-FNRS sub-project could be up to four years.

#### **Additional requirements**

Applicants to F.R.S.-FNRS funding must provide basic administrative data by submitting an administrative application on [E-SPACE](#) within 5 working days after the general deadline of the CHANSE call **to be eligible**. Please select the “PINT-MULTI” funding instrument when creating the administrative application. Proposals invited to the second stage will be able to complete the pre-proposal form and provide information for the full proposal upon validation by the F.R.S.-FNRS.

#### **Contact**

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## Belgium/Flanders (FWO)

### FWO Eligibility Requirements for CHANSE applicants based in Belgium

#### FWO participation scheme

The FWO participates with its [‘research projects’](#) funding scheme, which implies the funding of basic/fundamental research. Accordingly, the FWO [project regulations](#) apply, although there are some deviations when it comes to ERA-NET projects, which is explained in this document.

#### Who can apply?

In order to be eligible for FWO funding, researchers have to comply - amongst others - with Articles 10 and 11 of the [research project regulations](#). PIs in this call should have a permanent or so-called ‘ZAP position’ and be appointed at an eligible main host institution.

A researcher can be included/participate in maximum two different consortia/projects in this call<sup>1</sup>, with different topics. Furthermore, no additional limitations are in place, and thus no interference exists when it comes to the ‘national projects’ (i.e. limit on running and applied for projects).

*It is advised to contact the FWO administration, before submission, in order to verify the researchers’ eligibility and avoid the ineligibility of the project proposal/consortium as a whole.*

#### Eligible costs

Funding money can be used for staff (temporary - permanent staff cannot be justified on FWO budget), consumables (incl. e.g. travelling, other costs, etc.) and equipment. Projects may last up to 36 months, which implies the funding has to be budgeted and spent accordingly.

The minimal and maximal allowed funding amounts per cost category and per budgetary year, as applicable to the regular FWO-projects, should not be applied to projects funded by FWO in ERA-NETs.

The maximum amount that can be requested per project is 350.000 EUR, including a mandatory 6% overhead cost. This overhead cost of 6% on the applied for budget needs to be inserted in the overhead category from the budget table and is calculated by applying a 6% structural overhead rate on the total direct costs (personnel, consumables, travel, equipment, subcontracting, etc.). The sum of the total direct costs and this overhead cost form the ‘total requested budget’, which may not exceed 350.000 EUR.

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<sup>1</sup> Please note that one researcher can participate as Principal Investigator or Project Leader in one CHANSE proposal only.

Per international consortium an amount of max. 1x 350.000 EUR is available from FWO, independent of the number of researchers applying for funding at FWO.

Additionally, it is not allowed that researchers apply for part of their budget at different funding authorities (e.g. matching funding) for the same project, and thus within the same consortium.

### **Additional requirements**

- Researchers have to inform the central research coordination units, from their host institutions, about their participation.
- No additional 'national/regional' submission is required for the FWO.

More information about ERA-NET and the FWO participation can be consulted online, on the [FWO-website](#).

### **Contact**

The Research Foundation – Flanders (FWO)  
Toon Monbaliu, [eranet@fwo.be](mailto:eranet@fwo.be), tel: +32 (0)2 550 15 70

## Bulgaria (BNSF)

### **BNSF Eligibility Requirements for applicants based in Bulgaria**

- Only proposals involving basic research may be submitted in response to the call for proposals.

### **Who can apply?**

- Accredited universities as defined in Art.85 para.1, p. 7 of the Higher Education Act;
- Research organizations as defined in Art. 47, para 1 of the Higher Education Act.  
[http://ill.mon.bg/uploaded\\_files/zkn\\_visseto\\_obr\\_01.03.2016\\_EN.pdf](http://ill.mon.bg/uploaded_files/zkn_visseto_obr_01.03.2016_EN.pdf)

### **Eligible costs**

Eligible costs are specified in "National requirements and eligibility conditions" of Bulgarian National Science Fund available at:

[https://www.fni.bg/sites/default/files/competition/12\\_2016/ERA/BNSF\\_International\\_Programs-2017\\_ENG.pdf](https://www.fni.bg/sites/default/files/competition/12_2016/ERA/BNSF_International_Programs-2017_ENG.pdf)

### **Forms to be submitted**

Applicants have to submit an application form for national eligibility when submitting the proposals. The form, entitled „Administrative description of the project“ should be filled in both Bulgarian and in English and signed. Application forms can be obtained at: <https://www.fni.bg/?q=node/578>

They have to be sent it back by post or in person to BNSF Registry Office before the deadline of 1stage proposal submission.

### **Please note**

Applicants under this procedure shall be directly responsible for the implementation of the activities under the project proposal and shall not act as intermediaries, but they shall carry out activities under the project proposal on their behalf and at their expense.

Applicants to this procedure must be entities:

- Carrying out fundamental research studies; and
- Whose activities are entirely of a non-profit nature; or
- Whose activities are of both for-profit and not-for-profit nature, but these activities are clearly distinguished and their organization allows tracking of revenue and expenditures connected with their implementation, including by keeping analytical accounting. In the event that an applicant is involved in both for-profit and not-for-profit activities, the funding, expenditures and revenues shall be taken into account separately for each type of activity and on the basis of consistently applied principles of accounting of expenditures being justifiable.



## Contact

Bulgarian National Science Fund

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## Croatia (HRZZ)

### HRZZ Eligibility Requirements for applicants based in Croatia

**Participating organisation:** Croatian Science Foundation (HRZZ)

**Indicative Budget:** 0.2 M€

#### National Contact Person(s):

Jasminka Boljević

E-Mail: [jasminka@hrzz.hr](mailto:jasminka@hrzz.hr)

**Max. project duration:** proposed projects may last from 24 to 36 months

**Max. funding per project:** 100.000 EUR

Principal Investigators are not allowed to apply for funding in more than one proposal within this call. 1-3- projects can be funded

#### Funding criteria and regulations

Croatian applicants (Principal Investigators) are recommended to contact HRZZ prior to the submission of the proposal for the purpose of checking the national funding terms and conditions.

*The Croatian applicant may have the status of a Principal Investigator (PI) and/or team member on a maximum two HRZZ projects: as a PI of one project and team member or co-PI on another project or as a team member or co-PI on two projects. This does not include the role of PI and team members in HRZZ projects ending on 31 August 2022, and PIs on IP-Corona-2020-04 and IP-Corona-2020-12 and TTP projects. Co-PIs on projects are PIs of Croatian research groups in Cooperability programme, SwissCroatian research projects and projects in bilateral programmes.*

*Applicant can participate in only one project consortium in one ERA-NET Cofund Call.*

#### Institutional eligibility criteria:

Eligible applicants are public research organisations. **Partners from the industry sector are not eligible for funding.**

The document "Upute za ERA-Net Cofund natječaje za 2021. godinu" presenting the modalities of participation of the Croatian applicants, eligibility of the organizations and eligible costs will be available at [www.hrzz.hr](http://www.hrzz.hr).

Following the conclusion of the consortium agreement between the consortium partners, the Croatian applicant in the project consortium will be required to sign a grant agreement with HRZZ for the portion of the budget provided by HRZZ.

## **Eligibility confirmation**

### **For Outline Proposal**

The HRZZ requests the Croatian applicants to send the following documentation, not later than 2 days after the submission of the Outline Proposal:

1. Financial Plan for the Croatian applicant (the part to be financed by the HRZZ), extracted from the - Financial Form submitted by the project consortium;
2. Outline proposal (in PDF format, sealed on the date of Call deadline);
3. Letter of Support in Croatian, i.e. a written commitment of the Croatian applicant's organization, accepting the proposed research and committing to its administration (signed and certified by the authorized person of the Croatian applicant's organization);
4. Signed letter of commitment for the participation in the projects for all associates and consultants not employed at the applicant's organisation.

### **For Full Proposal**

The HRZZ requests the Croatian applicants to send the following documentation, not later than 2 days after the submission of the full proposal:

1. Financial Plan for the Croatian applicant (the part to be financed by the HRZZ), extracted from the Financial Form submitted by the project consortium;
2. Full proposal (in PDF format, sealed on the date of Call deadline);
3. Ethics approval (if applicable), PDF document.

The electronic version of the requested documentation – for both Outline and Full Proposals – shall be sent via e-mail to the following address: [jasminka@hrzz.hr](mailto:jasminka@hrzz.hr).

### **Submission of financial reports at the national level**

The funded Croatian applicants will have to submit annual Financial reports and justifying documentation (e.g. invoices, contracts, pay slips and similar) to HRZZ, together with a Declaration on VAT status and Declaration on the prevention of double financing for the year in question.

### **Eligible costs are:**

- Research costs
- Personnel costs (post-docs only)
- Equipment;
- Dissemination and travel expenses;
- Overhead (indirect costs) is calculated as a percentage of contracted direct costs: staff costs, logistics costs (excluding capital costs and cost for subcontracting) and travel expenses. It can be claimed only if there is an explanation and specification for its use. Indirect costs will not exceed 5 % of direct costs.

**Institutional thematic priorities:**

n/a

**Proposals with the following focus cannot be funded:**

n/a

**Additional information:**

n/a



## Czechia (CAS)

### CAS Eligibility Requirements for applicants based in the Czech Republic

**Maximum amount of funding which may requested per application: EUR 200 000**

#### Who can apply?

Qualified researchers from all disciplines **affiliated with the CAS research institutes** at any career stage (see also Eligible personnel costs).

To participate in a CHANSE consortium as **Project Leader (PL)** the applicant must be at the level of a senior researcher and must prove a sound academic record.

To participate in a CHANSE consortium as **Principal Investigator (PI)** the applicant may be at any career stage and must hold a PhD degree or equivalent qualifications.

#### Eligible costs

Researchers may apply for funding of costs relevant, necessary and directly connected to the proposed research project, including:

- **Personnel costs (maximum project duration 36 months):**

- Max. two PhD. students per one project;
- One or more Postdoc(s);
- One or more senior researcher(s).

- **Eligible material and other costs:**

- Travel & accommodation & meeting costs (the items must be specified and justified);
- Costs for knowledge transfer (the items must be specified and justified);
- All joint publication costs (incl. editing and translation costs);
- Overhead/administration expenses (cost of institutional overheads may be included at a rate of up to 15% of overall eligible direct costs *excluding* equipment & material costs);
- Equipment expenses (contact CAS to check eligibility of proposed equipment costs);
- Other material costs (contact CAS to check eligibility of proposed material costs).

The proposed project should not be financed from any other domestic source.

All budget items must be justified and provided for the eligibility.

All teams from the CAS institutes are obligated to submit the basic information about project and project team on a **special form** (available for download at <https://era.avcr.cz/cs/multilateralni->

[spoluprace/ERA-NET/CHANSE/](#)) to the Contact point at the CAS in parallel with a submitting the application through the dedicated submission system by the deadline of May 7th, 2021.

### **Contact**

Alena Žochová

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Czech Academy of Sciences (CAS)

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Czech Republic

## Denmark (DAFSHE)

### **DAFSHE eligibility requirements for CHANSE applicants based in Denmark**

#### **Who can apply?**

Project Leaders (PL) and Principal Investigators (PI) must be able to document independent research experience typically corresponding to 3 years of research after having obtained a PhD (or similar qualifications).

#### **What are eligible costs for researchers based in Denmark?**

Researchers may apply for funding to cover all expenses directly attributable to the project, and which are relevant and necessary in order to carry out the project. To this should be added overhead/administration expenses. The limit for overhead expenses is 25%.

In addition, the terms and conditions for Independent Research Fund Denmark (DFF) calls also stand for researchers applying for support from CHANSE via Danish institutions – please refer to the current independent research [call](#).

Please note that DFF expects that expenses for books, normal work PCs, general software and other general work tools to be covered by the hosting institutions' overhead. Please also note that DFF does not fund expenses associated with Open Access publishing.

#### **Separate Danish financial budget table at Full Proposal stage**

Applicants progressing to the Full Proposal stage in CHANSE will be required to complete and upload a separate Danish financial budget table as an individual annex to the Full Proposal. This budget table should only specify expenses related to the Danish sub-project and costs must be given in DKK (1 EUR = 7,5 DKK).

The budget must be divided into the following overall budget items:

- Scientific/academic salaries, incl. salaries for visiting researchers from abroad
- Salaries for PhD-students
- Post-doctoral salaries
- Technical/administrative salaries
- Equipment expenses
- Operating expenses e.g. field work, data collection, hosting of seminars.

#### **Contact**

Mads de Wolff

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Phone: (+45) 7231 8436

E-mail: [mdw@ufm.dk](mailto:mdw@ufm.dk) (please cc [hera-norface@ufm.dk](mailto:hera-norface@ufm.dk))





## Estonia (ETAg)

### **ETAg eligibility requirements for CHANSE applicants based in Estonia**

**Funding organisation: Eesti Teadusagentuur / Estonian Research Council**

**Maximum funding that can be requested by Estonian partner(s) per project: 230 000 €**

### **National Eligibility Criteria for grant applications in calls for transnational research projects**

#### **1. Participants of the project**

1.1 The Host Institution is the institution to which the grant will be allocated. The Host Institution must be a legal entity that is registered and located in Estonia.

The Host Institution must declare that the project can be carried out within their premises and that it will employ the Principal Investigator during the proposed project, should the project receive funding.

1.2 The Principal Investigator is the researcher who submits the project proposal and who will be responsible for the use of the grant and for the implementation of the project.

The Principal Investigator:

1.2.1 must have an updated public profile in the Estonian Research Information System (ETIS);

1.2.2 must hold a doctoral degree or an equivalent qualification. The degree must be awarded by the submission deadline of the grant application, at the latest;

1.2.3 must have published at least three articles which comply with the requirements of clause 1.1 of the ETIS classification of publications, or at least five articles which comply with the requirements of clauses 1.1, 1.2, 2.1 or 3.1, within the last five years prior to the proposal submission deadline. International patents are equalled with publications of clause 1.1. A monograph (ETIS clause 2.1) is equalled with three publications mentioned in clause 1.1 if the number of authors is three or less. If the applicant has been on pregnancy and maternity or parental leave or in the compulsory military service, or has other serious grounds, the publication period requirement will be extended by the respective time.

1.3 Senior research staff of the project participates in the substantial performance of the project. They must hold at least master's degree or an equivalent qualification.

#### **2. Budget**

2.1 Research expenses consist of direct costs (personnel costs incl. scholarships, travel costs and other direct costs) and subcontracting costs. The research expenses must be used to carry out the project and be respectively identifiable.

## 2.2 Direct costs

2.2.1 Personnel costs are monthly salaries with social security charges and all other statutory costs of the participants of the project calculated according to their commitment and proportionately to their total salary cost at their Host Institution.

2.2.2 Scholarships equal to the state doctoral allowance may be paid out of the grant to doctoral students not receiving any salary from the Host Institution. Should a doctoral student participate in several projects financed by the Estonian Research Council, the total amount of the scholarship from these projects may not exceed the nationally determined amount of doctoral allowance.

2.2.3 Travel costs may cover expenses for transport, accommodation and daily allowances.

2.2.4 Other direct costs are:

- consumables related to the project;
- costs for publication and dissemination of project results;
- costs for organising meetings, seminars or conferences;
- fees for participation in scientific forums and conferences;
- all other costs that are identifiable as clearly required for the implementation of the project.

2.3 Subcontracting costs should not be included in the overhead calculation and should cover only additional or complementary research related tasks (e.g. costs for translation, analyses, etc.) performed by third parties. Core project tasks should not be subcontracted. Subcontracting costs may not exceed 10% of the total costs.

2.4 Overhead may not exceed 20% of eligible direct research costs and should cover general expenses of the Host Institution. Costs for equipment and services intended for public use (copying machine or printer publicly used, phone bills, copying service, etc.) should be covered from the overhead.

2.5 Double funding of activities is not acceptable.

## 3. Enterprises

EU Regulations on State Aid for Research and Development must be taken into account when requesting funding from the Estonian Research Council. The state aid form must be filled in. No tax arrears are allowed on the proposal submission date.

## 4. Grant Agreement

In case of a positive funding decision, the Estonian Research Council shall enter into a grant agreement with the Host Institution and the Principal Investigator. The transnational project must be entered into the ETIS.

## 5. Research involving human subjects or animal tests

If human research or animal tests are intended in the project, a positive resolution by the Human

Research Ethics Committee or the Authorization Committee for Animal Experiments must be submitted to the Estonian Research Council by the start of the relevant activities.

## **6. Nagoya protocol**

By applying for funding by the Estonian Research Council the applicants agree to consider the relevance of Nagoya protocol for their research, and to submit the Due Diligence Declaration if applicable.

### **Contact**

Eesti Teadusagentuur / Estonian Research Council  
Anna Mossolova  
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## Finland (AKA)

### **AKA Eligibility Requirements for CHANSE applicants based in Finland**

General guidelines of the Academy Projects funded by the Academy of Finland apply to applicants based in Finland. Please see <https://www.aka.fi/en/research-funding/apply-for-funding/how-to-use-funding/> for further information. Applicants are encouraged to contact the national contact point at the Academy of Finland (contact details below) before submitting their proposals.

### **Who can apply?**

The applicant who intends to act as Principal Investigator (PI) or Project Leader (PL) in a CHANSE project must have the qualifications of a professor or adjunct professor (docent or equivalent qualifications). Funding is granted primarily to teams of researchers with doctoral degrees.

Funding is granted to be used at a site of research (typically a university or a research institute) based in Finland. Applicants are required to have a close connection to Finland to support the implementation of a multi-year project. The connection must be made clear in the proposal. Researchers who have been granted funding may however work outside Finland during their funding period.

### **What are eligible costs for Finnish researchers?**

Funding can be used to cover both direct and indirect research costs of the research team arising from, for example, the following:

- the research team's work (salaries)
- research costs
- travel
- national and international collaboration and mobility
- preparation of international projects

The salary costs of the PL/PI for project management can be included in the total project costs for approximately 1.5 months per year. The PL/PI can also be granted funding for salary costs for research for a maximum of 12 months, but only for well-substantiated research-related reasons which must be clearly presented in the proposal.

### Guidelines for project budgets for sub-projects based in Finland

- Full cost model applies to project budgets. Requested funding may come to no more than 70% of the total costs of a sub-project.
- The Academy of Finland is prepared to fund about five successful Finnish consortium parties with a total national allocation of 1,200,000 euros for the call.

- Budgets must be drawn out using the indirect employee costs, the overheads, and the coefficient for effective working hours applied by the site of research at the time of application submission (check the percentages and coefficient figures with your organisation).

The PIs based in Finland are required to annex to the Full Proposals a commitment of the site of research signed by a representative of the site of research. Finnish partners of projects that have been selected for funding will be invited to submit national application forms through Academy's online system

### **Open Access to Publications and Research Data Policy**

We require that the scientific publications on the results of Academy-funded research projects are open access, and that the research data produced by the projects are made widely available. The degrees of data openness may justifiably vary, ranging from fully open to strictly confidential. More information is provided here: <https://www.aka.fi/en/research-funding/responsible-science/open-science/>

### **Contact**

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## Germany (BMBF)

### **BMBF Eligibility Requirements for CHANSE applicants based in Germany**

#### **Preliminary note**

Generally, any researcher from a German institution funded within a CHANSE project must comply with the regulations for scientific funding of the German Ministry of Education and Research (BMBF). These regulations - Ausgabenbasis: Richtlinien für Zuwendungsanträge auf Ausgabenbasis, Nebenbestimmungen für Zuwendungen auf Ausgabenbasis des BMBF zur Projektförderung (NABF); Kostenbasis: Richtlinien für Zuwendungsanträge auf Kostenbasis (AZK), Nebenbestimmungen für Zuwendungen auf Kostenbasis des BMBF an gewerbliche Unternehmen für Forschungs- und Entwicklungsvorhaben (NKBF 2017) - can be found on: [https://foerderportal.bund.de/easy/easy\\_index.php?auswahl=easy\\_formulare&formularschrank=bmbf](https://foerderportal.bund.de/easy/easy_index.php?auswahl=easy_formulare&formularschrank=bmbf)

German national eligibility requirements as to who may apply and which costs are eligible should be checked with the CHANSE contact persons at DLR Project Management Agency before submission to the CHANSE online proposal submission system.

If a consortium is invited to submit a Full Proposal, the German Principal Investigators (PI or PL) have to deliver a stamped commitment letter from the appropriate authorities of their university/research institution: Officially, it's not the researcher but his/her institution that applies.

#### **Who can apply?**

Any scholar working in Germany for universities (Universitäten and Fachhochschulen), research institutions or museums, research libraries, archives or institutions such as associations that can provide research contributions and fulfil the purpose for the funding and funding requirements, who has reached at least post-doc level at the moment of application and is able to produce scientific contributions for a Collaborative Research Project (CRP) and who has proved his/her scientific capacity in prior publications. Applicants who have these necessary qualifications, the required institutional infrastructure and sufficient free capacity to carry out the project for which support is requested, may participate in a CHANSE CRP consortium as Project Leader (PL) or as Principal Investigator (PI). Applications from independent scholars are not admitted.

#### **What types of funding are eligible for German researchers?**

Only project specific costs may be requested, i.e. personnel and non-personnel costs that are essential to carry out the project and that go beyond the resources made available from the research institutions so called "infrastructure".

### Eligible personnel costs

Personnel costs are costs for scientific or administrative personnel and for other supporting staff (temporary employees etc.) to the extent they are employed on the research project. Double funding is prohibited.

#### Eligible are:

- Contracts of employment (Dienstvertrag) for full- or part-time employees in PL or PI position. Any institution that employs researchers who want to participate as active investigators in PL or PI position may request costs to finance their absence. However, „Freistellungen“, sabbaticals are not eligible in project funding.
- Contracts of employment (Dienstvertrag) for full- or part-time employees (Post-doc positions, PhDstudent positions, administrative positions).
- Reimbursement for work on an hourly basis (Geringfügig Beschäftigte / Hilfskräfte).

#### Eligible material and other costs:

- **Events:** In general, all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should preferably be requested by the partner in the hosting country.
- **Travel:** Travel and accommodation costs are eligible. Occurring costs must be appropriate, i.e. they have to correspond with travel costs for comparable personnel in Germany (cp. Bundesreisekostengesetz, BRKG). Journeys to non-European countries must be announced in the proposal to be eligible.
- **Consumables and supplies:** Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought during the project period.
- **Costs for infrastructure and durable equipment:** BMBF does not finance infrastructure or basic equipment at research institutions. This category includes all facilities that are required for the normal operations of the research institution (such as buildings, installations, communication facilities, scientific equipment considered as infrastructure, workplace & equipment and so on).
- **Subcontracting:** The general rule is that applicants shall implement the CRP and shall have the necessary resources to that end. However, as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting will only be eligible for support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

#### Eligible indirect costs:

- In Germany institutions are not allowed to charge overheads (a certain percentage of the eligible costs as indirect costs). However, applicants may request the concrete costs mentioned above (consumables, other costs etc.). Alternatively, they may request up to 10% of the personnel costs as a lump sum (see p.7 / point F0842 in Richtlinien für Zuwendungsanträge auf Ausgabenbasis).



- So called “grundfinanzierte Einrichtungen” - institutions publicly funded e.g. on federal, provincial or municipal level (except for universities) - may claim an infrastructure flat rate of up to 10 % of personnel costs. (Exact details see p.7 / point F0842 in Richtlinien für Zuwendungsanträge auf Ausgabenbasis).
- Universities (Universitäten and Fachhochschulen) where CHANCE-research projects are realised may receive a general contribution (Projektpauschale) amounting to 20% of total eligible costs of the funded project (see p.8. / point F0865 in Richtlinien für Zuwendungsanträge auf Ausgabenbasis).

#### **Please note**

- In case of a positive funding decision (for Full proposals), German institutions will need to deliver a “förmlichen Förderantrag” for BMBF funding and comply with BMBF Richtlinien as quoted above and layed down in the national “Richtlinie zur Förderung von internationalen Forschungsverbänden zum Thema “Transformationen: Soziale und kulturelle Dynamiken im digitalen Zeitalter” – “Transformations: Social and cultural dynamics in the digital age” to be published in “Bundesanzeiger”.

#### **Contact**

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## Hungary (NKFIH)

### **NKFIH Eligibility Requirements for CHANSE applicants based in Hungary**

**Funding party:** National Research, Development and Innovation Office (NKFIH)

**Funding programme:** Call for proposals to support successful Hungarian participants in international ERA-NET COFUND and EJP COFUND programmes Call Number: 2019-2.1.7-ERA-NET

**Source of funding:** 2019 Budget Law No. L., Annex 1. LXII: National Research, Development and Innovation Fund – Title 2.: Supporting innovation in international cooperation

**Available funding** for CHANSE ERA-NET-Cofund: 250 000 EUR

### **Eligibility criteria**

The aim of the call is to provide national funding for successful Hungarian participants in international joint calls of ERA-NET COFUND and European Joint Programme COFUND initiatives from the National Research, Development and Innovation Fund The call contributes to

- fostering strategic cooperation amongst international organisations participating in projects funded by ERA-NET COFUND and EJP COFUND programmes,
- to helping Hungarian participants of projects funded by the ERA-NET COFUND and EJP COFUND programmes to gain experience in the field of international R+D cooperation, which can contribute to strengthening Hungarian participation in the Horizon 2020 framework programme in the given technological,
- establishing future scientific and economic cooperation.

General rules for participation for Hungarian institutions in the joint calls of the ERA-Net COFUND and EJP COFUND programmes are detailed in the Call Guidelines.

Participation in these programmes contributes to achieving national R+D goals stipulated in the EU-2020 strategy and the alignment of the R+D programmes of member states and thus to more efficient exploitation of European R+D funds.

Request for funding can be submitted individually or in the form of national consortium. Hungarian partners participating in the same international project shall submit their request for domestic funding in the form of a national consortium.

Project duration: maximum 36 months

Request for funding shall only be submitted by a Hungarian member of a consortium of a joint international project which was selected for funding according to the evaluation system of the international programme, or by a Hungarian member who joined the consortium of a joint international project which was selected for funding according to the evaluation system of the international programme at a later stage.

Activities eligible for funding: basic research, applied research, experimental development, activities related to information and dissemination activities, project coordination activities, access to market. Detailed information can be found in the guidelines. In case of basic research activities, they can only be carried out by the applicants and cannot be outsourced to third parties.

Please note that activities eligible for funding vary from ERA-NET to ERA-NET. Please refer to the Guidelines for detailed information.

While applying for domestic funding, the professional content should be consistent with the professional content of the international project but the tasks of the Hungarian applicant should be presented in detail.

The timeline for the request for funding should be consistent with the timeline of the international project, the milestones should be defined according to the international project.

Applicants should meet the ethics requirements of Horizon 2020 indicated in Articles 13. and 14. of the Regulation 1290/2013/EU of the European Parliament and the Council.

Details regarding funding intensity can be found in the Guidelines

Domestic funding is available according to the ranking list of the international evaluation and until the exhaustion of the available domestic funds.

### **Submission of national proposal**

Proposers shall submit their request for funding after the approval of the international projects.

**The current version** of the national programme is available at

<https://nkfih.gov.hu/palyazoknak/nkfi-alap/era-net-ejp-cofund-2019-217-era-net>

Please note that the Guidelines of ERA-NET-Cofund applications are **expected to change in Spring 2021**. Information on the new version will be available on the NKFIH website:

<http://www.palyazat.gov.hu/>

### **Contact**

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## Iceland (RANNIS)

### RANNIS Eligibility Requirements for CHANSE applicants based in Iceland

In addition to the below summary, we strongly encourage all applicants to read information on eligible costs and other requirements in the IRF's handbook, available here:

<https://en.rannis.is/funding/research/icelandic-research-fund/>

#### Who can apply?

- Principal investigators (PIs) based at, or affiliated with, an Icelandic research group, university, research institute, or private enterprise.
- PIs must have completed their graduate studies at an accredited university.

#### Eligible costs

Researchers may apply for funding of costs relevant, necessary, and directly connected to the proposed research project, including:

- Salaries, including researchers, post-docs, graduate students, and technical staff (exceptions: salaries of parties who are also receiving full pay for other work, including pensioners, payments of overtime worked in research or payment to cover release from teaching duties).
- Various costs: Operational expenses due to necessary resources for the project (excluding overhead expenses and facilities), contracted services, travel and subsistence costs, and publication expenses.
- Purchase of equipment for up to ISK 2,000,000.
- Overhead expenses and facilities for up to 25% on top of the total cost of the project, excluding contracted service.

#### Please note

- Maximum project duration is 36 months.
- Researchers must guarantee that their research findings will be available through open access by either publishing them in open access journals, or in open searchable, digital repositories along with publication in a traditional subscription journal.

#### Contact

The Icelandic Centre for Research—Rannís  
Guðmundur I. Markússon, [gim@rannis.is](mailto:gim@rannis.is), tel. (+354) 515 5841

## Latvia (VIAA)

### VIAA Eligibility Requirements for applicants based in Latvia

#### Who can apply?

Following legal persons (as defined under the Latvian law) as project partners are eligible for funding:

- R&D institutions - research institutes, universities, higher education establishments, their institutes and research centres. R&D institutions (research institutes, universities, higher education establishments, research centres etc.) must be listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia, and also have proven status of Research and knowledge dissemination organization according to the EU Regulation 651/2014.
- Enterprises and companies. They must be registered in the Registry of Enterprises of the Republic of Latvia as a business enterprise and provide most of its activities in the Republic of Latvia. They must prove the possession of corresponding personnel qualified and technical means for the project's purposes.

Any other type of participant cannot be funded by VIAA.

#### Eligible costs

- Direct costs:
  - Personnel costs, incl. social tax for PI/PL and research team members;
  - Consumables;
  - Subcontracts and external services - up to 25% from direct costs, needs detailed justification. Includes all publishing and patenting costs, knowledge engineering and dissemination services;
  - Equipment (only depreciation costs attributable directly to the project);
  - Travel (according to the travel plan);
- Indirect costs (up to 25% of the direct costs exempting subcontracting and external services).

Project core activities cannot be subcontracted.

Educational activities are not supported.

#### Funding

Maximum of 70 000 euros per project year (at least 12 months long) can be requested by each project partner (which makes 210 000 EUR as maximum grant amount for a partner for a full 3-year project). At maximum two Principal Investigators from Latvia are allowed per project (respecting the call eligibility rules). Project duration can be up to 36 months.

Funding and support for project is provided according to Provisions No 259, 26/05/2015 of the Latvian Cabinet of Ministers <https://likumi.lv/ta/id/274671-atbalsta-pieskirsanas-kartiba-dalibai-starptautiskassadarbibas-programmas-petniecibas-un-tehnologiju-joma> and they should be respected without any exceptions.

National co-financing rate for project shall be determined in accordance with the Commission's Regulation (EC) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the common market in application of Articles 87 and 88 of the Treaty (General block exemption Regulation).

The grant will be awarded only after the following steps are accomplished:

- the submitted project proposal with an eligible Latvian Principal Investigator is in accordance with the criteria indicated in the present document;
- the respective project proposal is selected for the award by the CHANSE Steering Group after the transnational evaluation procedure;
- the project Consortium Agreement is signed by consortium and presented to VIAA.

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## Lithuania (LMT)

### LMT Eligibility Requirements for applicants based in Lithuania

#### Who can apply?

- Lithuanian higher education and research institution with Investigator (-s).
- The applicant who intends to act as a Principal Investigator (PI) has to be a scientist (researcher holding at least a Ph.D. degree) and is allowed to apply one proposal per call.
- Industrial partners are allowed to participate only in collaboration with Lithuanian higher education and research institution.

#### Eligible costs:

Researchers may apply for funding of costs relevant, necessary and directly connected to the proposed research project, including direct costs and overheads.

Direct costs:

- Personnel,
- Subcontracting (services and copyright works),
- Consumables,
- Travel and Subsistence,
- Equipment,
- Other.

Overheads: up to 30% of Personnel and Subcontracting costs.

The workload of the project implementers (PI and PII) of the Lithuanian team must be at least 20 hours multiplied by the duration of the project in months.

The maximum requested amount by project partner is 100.000 EUR and by project coordinator 150.000 EUR per project up to 3 years.

We strongly encourage all applicants to read **information on eligible costs in General Rules for Competitive Funding of Research and Dissemination Projects of the Research Council of Lithuania** ([Decision No V-176 of 4/4/ 2019](#))

#### Open Access to Publications and Research Data Policy

According to open access provisions of the European Union research and innovation programme Horizon 2020, LMT has developed Guidelines for Open Access to Science Publications and Data ([Decision No VIII-2 of 29/2/2016](#)). The purpose of the guidelines is to provide the general principles for open access to scientific publications and data obtained from public funds to Lithuanian research and higher education institutions and researchers carrying out research and dissemination projects.

## Contact

Research Council of Lithuania

Laura Kostelnickienė, [laura.kostelnickiene@imt.lt](mailto:laura.kostelnickiene@imt.lt), tel: (+370) 676 17398



## Luxembourg (FNR)

### VIAA Eligibility Requirements for applicants based in Latvia

#### Who can apply?

Funds provided by FNR in the framework of governance project funding are aimed at beneficiary organisations as specified in article 3.2 of the law creating the FNR.

The PI must be employed at an eligible beneficiary organisation at the time of the start of the project and for the full duration of the research project. The PI must be an experienced researcher who holds a doctoral degree and up to two project proposals may be submitted per PI. In order to be eligible as PI, researchers must comply with the FNR requirements for principal investigators and supervisors <http://bit.ly/PlandSupervision>

A copy of each submitted application (outline and full proposal) via the CHANSE submission system must be sent for information to FNR within 5 working days after the deadline.

#### What are eligible costs for Luxembourgish researchers?

##### DIRECT COST

- **Personnel costs (maximum project duration 3 years)**

The payroll costs of all staff (including PhDs), full or part-time, who work on the project, and whose time can be supported by a full audit trail may be included. The need for such staff should be justified in the application form.

Additional costs (overhead, consumables, etc.) for PhD students are eligible project costs.

The FNR will not cover costs of persons already funded by the State or by other funding sources.

Other sources of funding for PhDs can be institutional funds or FNR grants. The FNR encourages the involvement of (Bachelor or Master) students in the project in order to strengthen their interest in research.

The FNR will only finance the profiles of the personnel indicated in the budget sheets of the application and changes of profile (e.g. Post-doc to technician) have to be requested in writing in advance and receive formal approval by the FNR.

Lump sums for staff categories may be used provided these are based on a real-figure calculation (which must be added and explained in the application form).

The FNR expects project staff to use timesheets so that their actual time is recorded against a project to form the basis of the costs charged. Where a person is contracted to work 100% of their time on a single project (whether they are working full-time or part-time), timesheets are not necessary as their



costs can only be charged to that activity. In all other cases, timesheets or project time records are required. This includes those who may be contracted to work on two or more projects, since it is essential when charging to have a means of recording and verifying the actual time applied to each activity.

- **Equipment**

The FNR will only finance equipment listed in the original proposal. Additional equipment has to be requested in writing in advance and receive formal approval by the FNR.

- **Consumables**

The applicant needs to indicate the nature of the consumables and justify the estimation of the total costs. Lump sums for various consumable categories may be used provided these are based on reasonable assumptions.

- **Travel Costs**

The FNR will cover the real expenses for travel, subsistence and conference fees. Expenditures should be in accordance with an institution's own regulations.

To avoid administrative burden at submission and in order to give the applicant team the necessary flexibility, the budget for travel expenses, subsistence and conference fees is calculated on a lump sum basis: 2000 € per year and per 12 person\*months (in relation to the total project effort).

If the project team requires a higher budget for travel, please justify in detail the amount.

- **Subcontracting**

This line provides for expenditure on services provided by subcontractors.

Contracting partners may subcontract specific services (limited in time) which are essential for the project but do not represent core elements of the project work which cannot be directly assumed by one of the contracting-partners and where this proves necessary for the performance of their work under the project.

In case the subcontracted service fulfils these criteria, please describe the service, indicate the price (market price) and specify the reason why you resort to a third service in the application form:

Why an expert or technical assistance is needed.

How many hours" work the subcontractors are expected to provide. All covered costs, must be substantiated.

## **INDIRECT COSTS**

- **Overhead**

Definition by FNR: This heading may provide for ongoing expenses which cannot be attributed to any specific activity, but are still necessary for the action to be realised.

Overhead expenses may include, but are limited up to 25%, accounting, advertising, depreciation, indirect labour, insurance, interest, legal fees, rent, repairs, supplies, taxes, telephone, travel and utilities.

Overhead costs may not include depreciation costs of large equipment having been completely funded by FNR in other previous programmes.

Overhead costs have to be reasonable and based on an auditable calculation in order to guarantee proper audit trail. Overheads must be identifiable and justified by the accounting system of the participant as being incurred in direct relationship with the eligible direct costs attributed to the project. Indicate the method of calculation in relationship with the eligible direct costs (i.e. as a rate calculated with respect to total personnel costs).

- **Other costs**

- VAT is not an eligible cost in case the institute can reclaim VAT from the Luxembourg tax authorities in conformity with Luxembourg indirect tax regulation.
- Costs for knowledge transfer are eligible.

### **Contact**

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## Norway (RCN)

### RCN Eligibility Requirements for CHANSE applicants based in Norway

**Maximum national funding available for this call: € 2,2 mill.**

#### Who can apply?

Researchers at Norwegian universities, university colleges or other Norwegian research institutions recognised by RCN may participate in a CHANSE consortium as Project Leader (PL) or as Principal Investigator (PI). See the [list for approved research organisations](#) and more about general application requirements: [General application requirements](#) and [what to enter in the project budget](#).

All costs must be given in Euros.

#### What are eligible costs for Norwegian researchers?

Organisations from the university and university college sector are to use the [TDI full costing model](#) when specifying project budgets.

#### Payroll and indirect expenses:

Payroll and indirect expenses, related to researcher time (including research fellowship positions) at the research organisations participating in the project. For doctoral and post-doctoral research fellowships, this funding is limited to maximum three person-years.

- Payroll expenses for all R&D personnel:
  - *Applicants from universities and university colleges* are to use the [TDI full costing model](#) when calculating researcher time expenses. RCN's funding of researcher time is limited to a [lump-sum allocation rate](#). The rates per person-year may be divided into 12 person-months or 1 628 person-hours. The rates may be lower than the costs the organisation has calculated for researcher time in the budget. Any difference must be covered by the organizations own funding.
  - *Applicants from independent research institutes:* Payroll and indirect expenses for all R&D personnel are to be calculated together as hourly rates for groups of R&D personnel. The hourly rate is established by the individual research institute and must reflect the actual costs based on efficient operations. See [Registration of hourly rates from the institute sector](#) for more information.

#### Procurement of R&D services

Meaning costs related to procurement of R&D services for the project. You must link R&D services to an R&D supplier that delivers contracted R&D tasks to the Project Owner or other project partners. R&D suppliers are to be registered as partners in the grant application form.

If research fellowships are included in the R&D services (the task contracted from an R&D supplier), calculate the costs using [the current lump-sum rates](#).

## Equipment

This encompasses operating and depreciation costs for scientific equipment and research infrastructure necessary for the execution of the project. These costs may be calculated as follows:

- The project's share of the depreciation costs for equipment and research infrastructure that is necessary for the execution of the project, if this equipment or infrastructure has not been procured using funding from the Research Council or other public project funding.
- The project's share of the operating costs or "user fee" for equipment and research infrastructure that is necessary for the execution of the project.
- Procurement costs for equipment that can only be used for this project.

Smaller-scale procurements (less than NOK 100 000 in purchase costs) of equipment that can also be used outside the scope of the project are included in the indirect expenses and should not be entered under "Equipment".

## Operating expenses:

Comprises costs for other activities that are necessary to carry out R&D efforts under the project. This may include costs for:

- Travel and accommodation, including research stays abroad and research visits to Norway for researchers from other countries. Read more under [research stays abroad](#) and [research visits to Norway](#).
- Dissemination activities related to events, seminars, user-oriented activities, etc.
- Costs related to the publication of scientific books (monographs/anthologies) with open access.
- Activities to make research data from the project accessible in accordance with a potential data management plan.
- Other materials that are not covered under "Equipment".

Costs of Open Access article fees are not covered in individual projects. Research organisations can apply to have publication fees covered through [the Stimulation Scheme for Open Access Publication \(STIM-OA\)](#).

## Contact

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## Poland (NCN)

### NCN Eligibility Requirements for applicants based in Poland

Only proposals involving basic research (experimental or theoretical endeavours undertaken to gain new knowledge of the foundations of phenomena and observable facts, without any direct commercial use) may be submitted in response to the call for proposals.

### Who can apply?

The Principal Investigator in the Polish research team must be at least a PhD holder when submitting a proposal. The Principal Investigator must be a person employed at the host institution for the project for the entire project duration period pursuant to at least a part-time employment contract. The Principal Investigator must reside in Poland for at least 50% of the project duration period.

### Eligible costs:

We strongly encourage all applicants to read information on eligible costs included in the Annex to NCN Council's Resolution on awarding funding for research tasks funded or co-funded under international calls launched by the National Science Centre and carried out as multilateral collaboration UNISONO: [version in PL](#), [version in EN](#).

Applicants can apply for funding for all costs relevant, necessary and directly connected to the proposed research project including:

1. Personnel costs (salaries):
  - full time remuneration: funds for full-time employment of the principal investigator or post-doc(s);
  - additional remuneration for members of the research team;
  - salaries and scholarships for students and PhD students.
2. Purchase or manufacturing of research equipment, devices and software (the cost of an individual item of equipment must not exceed PLN 500 000);
3. Other costs such as:
  - ✓ Purchase of material and small equipment;
  - ✓ Outsourcing (costs of services rendered by third parties)
  - ✓ Business trips (travel and subsistence costs)
  - ✓ Visits and consultations
  - ✓ Compensation for collective investigators
  - ✓ Other costs crucial to the research project which comply with the Annex to NCN Council's Resolution on awarding funding for research tasks funded or co-funded under international calls launched by the National Science Centre and carried out as multilateral collaboration UNISONO: [version in PL](#), [version in EN](#).

EURO exchange rate: 1 EUR = 4,2344PLN

**Please note:**

- Applicants are obliged to adhere to the rules included in the Annex to NCN Council's Resolution on awarding funding for research tasks funded or co-funded under international calls launched by the National Science Centre and carried out as multilateral collaboration: UNISONO: [version in PL](#), [version in EN](#).
- The rules for awarding NCN scholarships are laid down in the Regulations on awarding scholarships ([version in PL](#), [version in EN](#)).
- Up to 7 days from the Full Proposal submission deadline Polish applicants must submit their national applications in the ZSUN/OSF submission system. The application will include a budget that should be calculated according to the Annex to NCN Council's Resolution on awarding funding for research tasks funded or co-funded under international calls launched by the National Science Centre and carried out as multilateral collaboration (UNISONO: [version in PL](#), [version in EN](#)).
- If one international project includes partners from two or more different Polish institutions, these institutions apply as a group of entities. Each entity within the group has a separate budget, but the limit on the remuneration applies to the group as a whole (please see: UNISONO: [version in PL](#), [version in EN](#)). Please note that groups of entities have higher limits on the remuneration.
- Indirect costs must not exceed a maximum of 20% of the total eligible costs and may not be increased during the course of a research project.
- Additionally, indirect costs of up to 2% of direct costs may be spent on Open Access to publications and research data. Administrative personnel costs and costs of organisation of conferences have to be covered from overheads.
- Open Access Policy at the NCN in PL ([version in PL](#), [version in EN](#))
- Open Management Plan requirements ([version in PL](#), [version in EN](#))
- Personal Data Processing at the NCN in PL ([version in PL](#), [version in EN](#))

**Contact**

NARODOWE CENTRUM NAUKI

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## Romania (UEFISCDI)

### UEFISCDI Eligibility Requirements for applicants based in Romania

#### Who can apply?

- Eligible entities for funding are universities, public institutions, R&D national institutions, joint-stock companies, SME's and Large companies, NGOs (associations, foundations, etc.), others.
- Funding rates vary in accordance with state aid legislation. For more information: <https://www.uefiscdi.ro/pachet-de-informatii-suprogramul-3-2-orizont-2020>.

#### Eligible costs:

1. Staff costs;
2. Logistics expenses
  - Capital expenditure;
  - Expenditure on stocks - supplies and inventory items;
  - Expenditure on services performed by third parties cannot exceed 25 % of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work;
3. Travel expenses;
4. Overhead (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs and cost for subcontracting) and travel expenses. Indirect costs will not exceed 20 % of direct costs

#### Please note:

Max./Min. funding awarded:

- 250.000 euro in case a Romanian institution is the Project Leader (together with other Romanian partner(s) – if it is the case);
- 200.000 for one/all Romanian partner(s) participating in a proposal.

#### Contact

UEFISCDI

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## Slovakia (SAS)

### SAS Eligibility Requirements for applicants based in Slovakia

#### Funding Criteria and Regulations

##### Who can apply?

Only research Institutes of Slovak Academy of Sciences are eligible organisations for funding (up to 100%). Applicants from other Slovak R&D centres (universities and/or other organisations from Slovakia) have to cover the project costs from their own sources. The partners outside of SAS can be self-funded consortium members and thus cannot be the coordinator of the consortium. Participation of SAS Early Career Researchers (ECR) is strongly recommended.

##### Funding and project duration

The maximum amount of requested funding per project can be up to 120.000 EUR for a total period of 36 months. Project duration is typically 24 or 36 months.

##### What types of costs are eligible?

#### 1. Direct costs

##### 1.1. Personnel costs

- must accurately reflect the work on the project
- may be used only to cover the costs (including health and social insurance) related to work performed outside of employment
- maximum of 15% of all direct costs or maximum of 30% of all direct costs, if SAS team is a coordinator of project consortium (Project Leader)

##### 1.2. Material costs and expenditures

- Consumables: minor equipment and instruments, small-scale office and laboratory material (no basic equipment of the workplace; essential IT equipment is exception)
- costs and expenditures for services directly related to the project: contracts, consultations, publication of project results, conference fees
- travel costs: limits for travel costs and daily subsistence allowance vary depending on destination country (pursuant to Slovak Act. 283/2002 Col. Of Laws on travel reimbursement)
- capital expenditures: to a maximum of 40% of all direct costs

#### 2. Indirect Costs (overheads)

- administration, energy and infrastructure
- maximum of 20% of all direct costs

Detailed financial rules are available at following link

[https://www.sav.sk/index.php?lang=sk&doc=services-news&source\\_no=25&news\\_no=7569](https://www.sav.sk/index.php?lang=sk&doc=services-news&source_no=25&news_no=7569)

### **Additional forms submission**

SAS participants are requested to submit “Declaration to Participate in CHANCE Call 2021” within the deadline for submission of the project outline proposals. For further details, please contact [panisova@up.upsav.sk](mailto:panisova@up.upsav.sk). The declaration template will be provided upon request.

SAS researchers that are partners in a project recommended for funding will be requested to submit an additional application to the SAS (MVTTS form). The Presidium of SAS will make the final decision for funding of selected projects.

### **All Slovak applicants must contact SAS prior to outline proposal submission.**

#### **Contact**

Slovak Academy of Sciences, International Cooperation Department  
Štefánikova 49, 814 38 Bratislava, Slovakia  
Zuzana Panisová, [panisova@up.upsav.sk](mailto:panisova@up.upsav.sk), tel. +421 2 595 10 245  
Martin Novák (proxy), [mnovak@up.upsav.sk](mailto:mnovak@up.upsav.sk), tel. +421 2 595 10 119

## Slovenia (ARRS)

### ARRS Eligibility Requirements for applicants based in Slovenia

Only proposals involving **basic research** may be submitted in response to the call for proposals.

#### Who can apply?

Researchers holding a PhD degree who:

- meet the requirements for a project manager of a basic project,
- are registered at Slovenian Research Agency (ARRS), and
- are employed at Slovenian higher education or research institutions or will be employed at the research institution by signing of CHANSE grant contract at the latest.

Higher education or research institutions of the applicant need to be registered at ARRS research organisations records and shall not be business sector entities. Business sector entities may apply, however they need to ensure their own funding for participation. Eligible entities may participate in a CHANSE consortium as Project Leaders or Principal Investigators.

#### What are eligible costs for researchers?

Eligible costs for Slovenian researchers are:

- Personnel costs, PhD student (those funded as young researchers by ARRS are excluded), post-doc,
- Social security, health, pension and other contributions according to national legislation,
- Material costs (Travel and meeting costs, Consumables, Dissemination and knowledge exchange costs, Other costs)
- Depreciation costs

Slovenian teams will be financed as the price category A, B, C or D projects. Total sum of research hours is calculated by dividing total grant sum by the value of research full time equivalent for chosen price category of the project (A, B, C or D) in accordance with national financial act [Uredba o normativih in standardih za določanje sredstev za izvajanje raziskovalne dejavnosti financirane iz Proračuna Republike Slovenije](#) (Ur. l. RS, No. 103/11, 56/12, 15/14, 103/15, 27/17, 9/18, 62/19, 105/20).

Overhead is calculated up to 20% of all eligible costs calculated at the level of chosen project category (without subcontracting costs) being a consistent part of the total grant sum. The calculation of overhead thus lowers individual category of eligible costs in a proportional manner. Overhead costs are used for covering the running costs of the institution which are related to implementation of the CHANSE project. At the Outline and Full Proposal stage all Slovenian applicants are invited to contact ARRS as the proposed budget is recommended to be examined by ARRS prior to official submission. Additionally, names and research organisations of all Slovenian participants should be forwarded to ARRS with planned budgets for each year of the project (max 3 years).

## Contact

JAVNA AGENCIJA ZA RAZISKOVALNO DEJAVNOST REPUBLIKE SLOVENIJE

Ana Jakopin, [ana.jakopin@arrs.si](mailto:ana.jakopin@arrs.si), Tel: (+386 1) 400 5931



## Slovenia (MIZS)

### National Eligibility Requirements for applicants based in Slovenia applying for CHANCE CALL: Theme “I. CULTURAL TRANSFORMATIONS IN THE DIGITAL AGE” / targeting researchers from the field of Humanities

#### Who can apply?

**Eligibility of a partner as a beneficiary institution:** Slovenian universities or research institutes may participate in a consortium as Project Leader or as Principal Investigator (project partner). Research organizations as defined in the national Research and Development Act ([Zakon o raziskovalni in razvojni dejavnosti](#)). All participating institutions have to be registered in the Slovenian Research Agency register of research institutions (Informacijski sistem o raziskovalni dejavnosti v Sloveniji - [Sicris](#)).

**Eligibility of Project Leader (PL), Principal Investigator (PI) and other research team members:** The project activities of the Slovenian partner has to be under the supervision of the primary investigator (PI) researcher who fulfils the requirements for project leader (doctoral degree, employed at the Slovenian host institution). Slovenian Project Leader (PL) and/or Principal Investigator (PI) are required to have in the time of the final submission of project proposal their bibliographic indicators of research success  $\geq 100$  (bibliografski kazalci raziskovalne uspešnosti),  $A1 \geq 1$ ,  $A3 \geq 0$ ,  $CI \geq 1$ . The criteria are determined in the Rules on Determining the Fulfilment of Conditions for a Research Project Leader ([Pravilnik o kriterijih za ugotavljanje izpolnjevanja pogojev za vodjo raziskovalnega projekta](#)). All participating researchers have to be registered in the Slovenian Research Agency register of researchers ([Sicris](#)) and must have enough free research hours available to for work on CHANCE project for the period planned.

#### What are eligible costs for Slovenian researchers?

- Personnel costs - Salary (plača)
- Salary taxes and social & health security (prispevki delodajalca)
- Material costs (Blago in storitve: materialni stroški, blago, storitve, službene poti)
- Amortisation (amortizacija opreme)

Source: Slovenian Research Agency (ARRS): Cena ekvivalenta polne zaposlitve za leto / Raziskovalni projekti: <https://www.arrs.si/sl/progproj/cena/cena-21.asp>

Overheads up to 20% of all realised eligible costs.

VAT is not an eligible cost in case the institute can reclaim VAT from the Slovenian tax authorities in conformity with Slovenian tax regulation.

100 % of all eligible costs will be funded for research organization (such as universities, public and private research institutes) who's financed activity is non-economic in accordance with the provisions of Community Framework for State Aid for Research and Development and Innovation (OJ EU C 198, 27. 6. 2014).

#### Maximum funding per awarded project

- Recommended cap on funding for one international project is 1.5 mio. EUR;

- Up to max. **279.760** EUR for the period of 36 months if Slovenian applicant is a coordinator (Project Leader) in transnational project consortium;
- Up to max. **186.507** EUR for the period of 36 months if Slovenian applicant is a partner (Principal Investigator) in the transnational project consortium.

**What kind of documentation do we need to submit to MIZS before the end of Full proposal stage (2<sup>nd</sup> stage)?**

Before the end of Full Proposal stage all Slovenian applicants must contact MIZS as the proposed budget for Slovenian applicants will be checked by MIZS prior to official online submission at CHANSE. Slovenian applicants are therefore requested to submit their 1) CHANSE financial budget template and 2) national financial budget template to MIZS.

Furthermore, at the Full Proposals stage, each Slovenian PIs must submit a 3) formal commitment letter signed by the proper authority from their institution (rector of the university or director of the institute) to MIZS. Original signed letter and financial templates must be sent by post.

All the national templates will be provided on MIZS website and/or sent directly to the Slovenian PIs participating at the full proposal stage.

**More information on the MIZS's national CHANSE website:**

<https://www.gov.si/zbirke/projekti-in-programi/chanse/>

**Contact**

dr. Davor Kozmus  
Ministrstvo za izobraževanje, znanost in šport  
Masarykova 16, 1000 LJUBLJANA, SLOVENIA  
E-mail address: [davor.kozmus@gov.si](mailto:davor.kozmus@gov.si)  
Tel.: +386 1 478 4693

## Spain (AEI)

### AEI Eligibility Requirements for applicants based in Spain

**Update: 25.03.2021**

#### Funding programme

The framework for this funding action is the *Plan Estatal de Investigación Científica y Técnica y de Innovación 2021-2023*. On a national level, the Call will be managed by the [Subdivisión de Programas Científico-Técnicos Transversales, Fortalecimiento y Excelencia](#) of the AEI.

The instrument for funding the Spanish groups, Call on RDI projects “International Joint programming (PCI)”, is being redesigned for simplification. Nevertheless, applicants are encouraged to consult the [PCI 2020](#) call, since the requirements will be similar. More information: [AEI – Calls and Grants](#).

#### Eligible topics

- No restrictions. However, joint projects addressing topics 1 and 2 together are encouraged. Only research and innovation activities will be eligible. Mere diffusion, communication or similar activities will not be eligible.

#### Who can apply?

Beneficiaries for the AEI are non-profit research organisations (such as universities, public research institutions, technological centres and other private non-profit institutions performing RDI activities in Spain), as per PCI call.

#### Mandatory

- The **Spanish Principal Investigators** (PIs) must be eligible as per PCI call, must hold a PhD and have experience as investigators in projects funded by the *Plan Estatal I+D+i 2013-2016* and *2017-2020*, ERC Grants, European Framework Programmes or other relevant national or international programmes. Spanish PIs must have a contractual relation with the beneficiary covering the expected total length of the project.

#### Incompatibilities (these must be taken into account when participating in different ERA-Nets or other international initiatives):

- Principal Investigators will not be eligible for funding if they apply in more than one proposal of this transnational Joint Call, in more than one proposal in the same PCI call or in PCI calls of consecutive years.
- Principal Investigators must remain unchanged between the proposal of this transnational Joint Call and the national PCI call.



### **Funding rates:**

The AEI will avoid double funding and will not grant projects or parts of projects already funded through other national or EU calls. The following funding limits are considered eligibility criteria. Proposals not respecting these limits could be declared non eligible.

- A maximum of two Spanish Partners requesting funding to the AEI in the same proposal are allowed.

Maximum direct costs per proposal (indirect costs can be added to these amounts):

- If the Consortium is NOT LED by a Spanish Coordinator and:
  - there is only one Spanish Partner in the proposal: € 135.000.
  - there are two Spanish Partners in the proposal, the total amount for both Partners is: € 185.000.
- If the Consortium IS LED by a Spanish Coordinator and:
  - there is only one Spanish Partner in the proposal acting as Coordinator: € 210.000.
  - there are two Spanish Partners in the proposal and one is acting as Coordinator, the total amount for both Partners is: € 260.000.
- Additional € 40.000 can be granted for the entire proposal if the work plan includes substantial original data collection tasks to be carried out by the Spanish Project Investigators justifying the budget. Analyses based only on pre-existing secondary data are excluded from this additional grant.

Centers formed by different Spanish legal entities will be considered as a unique entity, and thus the maximum funding should not exceed the limits per proposal established above (for example, mixed centers).

The final funding will take into account the transnational evaluation of the collaborative proposal, the scientific quality of the Spanish group, the added value of the international collaboration and the financial resources available.

### **Eligible costs:**

- Personnel costs for **temporary employment contracts (PI contract excluded)**. Statutory personnel is not eligible for funding by the AEI.
- Direct costs such as current costs, small scientific equipment, disposable materials, travelling expenses, coordination costs and other costs that can be justified as necessary to carry out the proposed activities.
- Overheads (maximum 15%).
- Subcontracting special tasks to EU and non-EU countries (i.e. IT services, etc): Yes, within the limits established in the PCI call.

### **Additional information**

#### **Data Protection:**

By submitting a grant application to the AEI, the applicants consent to communication of the data contained in the application to other public administrations, with the aim of further processing of the



data for historical, statistical or scientific purposes, within the framework of the Organic Law 3/2018, of December 5, on Personal Data Protection and Guarantee of Digital Rights.

**Further comments:**

In addition to the national regulations, specific rules for the consortia may be applicable within the transnational call; please read carefully the Joint Call text and all the related instructions.

**Important and mandatory acknowledgement:**

**Any publication or dissemination activity resulting from the granted projects must acknowledge funding by the Agencia Estatal de Investigación: “Project (reference nº XX) funded by the Agencia Estatal de Investigación through the PCI XX call (or its equivalent)”.**

**Contact**

AGENCIA ESTATAL DE INVESTIGACIÓN

Juan Climent Blasco / Ángela Martínez-Carrasco Martínez: [norface@aei.gob.es](mailto:norface@aei.gob.es)

## Sweden (Forte)

### **Forte Eligibility Requirements for applicants based in Sweden**

**Update: 16.04.2021**

#### **Funding Organisation**

Forte – The Swedish Research Council for Health Working Life and Welfare

#### **Eligible Applicants in Sweden**

The Swedish Project Leaders and Principal Investigators must be affiliated with an organisation that is approved by Forte as an administrating organisation and have an organisational account in the Swedish application system, Prisma. Approved organisations include public and private scientific institutions; universities and other higher educational institutions; relevant units at municipalities and county councils.

All Swedish applicants (Project Leaders, Principal Investigators and co-applicants) must hold a doctoral degree on the date of the deadline for outline proposals (May 7th, 2021). You may concurrently apply for other grants at Forte, and you may apply for this grant even if you hold an ongoing grant at Forte.

If you have been the project leader for concluded grants from Forte, final financial and scientific reports must have been submitted within the permitted time frame in order for you to apply for a new grant. Please contact Forte's Research and Systems Administrator Susanne Gabrielsson ([susanne.gabrielsson@forte.se](mailto:susanne.gabrielsson@forte.se)) or your organisation if you are unsure whether all your final financial reports have been submitted.

#### **Eligibility of Costs**

Salaries, equipment, data collection, analysis, travelling and indirect costs are eligible.

The maximum amount for Swedish applicants in one project consortium collectively to apply for is € 200 000/yr in the transnational Electronic Submission System (which corresponds to a cap of 2 MSEK/year in the Swedish system, Prisma). For projects with a duration of between 24 and 36 months, corresponding budget limits applies, (i.e. €16 700 or 167 000 SEK per project month).

#### **Additional Information - Guidance for Swedish applicants**

Please note that Forte's [Guidelines for Publication with Open Access - Forte \(English\)](#), apply to all Forte-funded researchers. Consult Forte's [General terms and conditions for grants - Forte \(English\)](#), for detailed information about Forte's research grants.

### **Submission of Proposal at National Level**

In addition to the joint transnational application to the submission portal, Swedish applicants in the consortia must also submit a proposal to Forte through the application system Prisma for the Swedish part of the project, <https://prisma.research.se/>. This must be done at both stages of the application process.

In case of there being several Swedish Principal Investigators in the same application, they must submit only one joint proposal to Prisma.

### **Contact**

Tove Hammarberg, telephone: 08-775 40 87, e-mail: [tove.hammarberg@forte.se](mailto:tove.hammarberg@forte.se)

Aiga Giangiacomo, telephone: 08-562 05180, e-mail: [aiga.giangiacomo@forte.se](mailto:aiga.giangiacomo@forte.se)

## Switzerland (SNSF)

### SNSF Eligibility Requirements for applicants based in Switzerland

#### Who can apply?

Applicants need to meet the personal and formal requirements for submissions of proposals set out in the following SNSF regulations:

- [Funding Regulations](#) (in particular articles 10 and 13)
- [General Implementation Regulations for the Funding Regulations](#)
- [Regulations on Project Funding](#) (in particular articles 4 and 5)

Generally, applicants who are eligible in the project funding scheme are also eligible in the current CHANSE call (for a short description see also our [website](#)). Please note that a CHANSE grant will not count as a grant in the project funding scheme (i.e. the rule “one person, two grants” is not applied to this call, cf. article 13 in the Regulations on Project Funding). However, the research projects must be thematically distinct and pursue different goals (cf. article 17 in the Funding Regulations).

#### What else do you need to consider?

- Disciplines: The proposed research has to fall within the disciplines covered by the Humanities and Social Sciences division (cf. [research domains and disciplines](#)).
- Submission at the national level: Applicants must provide basic administrative data by submitting an administrative application in [mySNF](#) for the same deadline as the consortium application is submitted. Please select the “Programmes/ERA-NET: Pre-proposal” funding instrument when creating the application for the Outline Proposal. The budget on the Swiss part of the project must be given in CHF in mySNF.
- Data Management Plan (DMP): Swiss based applicants must submit a DMP (at least for their part of the project) which complies with the [SNSF policy on open research data](#).
- Eligibility: If you are unsure, whether you meet the personal and formal requirements for applicants or whether your research falls within an eligible discipline, please contact the administrative offices of the SNSF (see below).

#### What types of funding are eligible for Swiss based researchers?

According to the General Implementation Regulations (cf. section 2) and the Regulations on Project Funding (cf. article 8), the following costs may be covered:

- ✓ Personnel costs (salaries of scientific and technical staff in research projects). A Project Leader (PL) or a Principle Investigator (PI) cannot apply for her or his own salary.
- ✓ Material costs that are directly related to the research work, namely material of enduring value, expendable items, field expenses, travel costs, third-party charges, computing time and data.
- ✓ Direct costs incurred through the use of research infrastructure linked to the research work.
- ✓ Costs for the organisation of conferences and workshops in connection with the funded research.
- ✓ Costs for national and international cooperation and networking activities carried out in connection with the funded research.

- ✓ Costs for open research data.
- ✗ Overhead: The overhead contributions are calculated on the basis of the research funding acquired by eligible institutions under eligible funding schemes. The contributions are paid each year as a flat rate to the institutions as a whole and are therefore *not* part of the eligible costs (cf. [Overhead Regulations](#)).

The SNSF earmarked a budget in order to finance approximately 3 projects with applicants from Switzerland. To provide for a greater degree of flexibility, there is no maximum contribution set per project for the Swiss part. Nevertheless, budgets of collaborative research projects must be balanced and Swiss based applicants should aim at a budget no higher than around € 350,000-400,000 per project.

If one international project includes more than one Swiss based applicant (Principal Investigator), the applicants must apply as a consortium and submit a joint budget. Please note that the specified limit on the budget applies to the Swiss consortium as a whole.

The above-mentioned SNSF Funding Regulations, the General Implementation Regulations for the Funding Regulations and the Regulations on Project Funding are applicable where not stated otherwise.

#### **Contact**

Ms. Marie Guyaz  
Swiss National Science Foundation  
Humanities and Social Sciences division  
Wildhainweg 3, Postfach 8232  
CH-3001 BERN, SWITZERLAND  
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## United Kingdom (UKRI)

**Country: United Kingdom**

**Update: 26.03.2021**

**Organisation: UKRI (Arts and Humanities Research Council and Economic and Social Research Council)**

**Please note that the UK component of this call is being jointly administered by AHRC and ESRC, therefore please consult guidance documents from both organisations. Where there is conflicting guidance please contact the UKRI mailbox for this call: [Chanse@esrc.ukri.org](mailto:Chanse@esrc.ukri.org)**

Please note that the participation of UK applicants in this CHANSE call is not affected by the UK's exit from the European Union. A portion of this call's funding comes from EU top-up funding; however, UK researchers can continue to receive EU grant funding for the lifetime of individual projects. More information can be found at: [Working on EU-funded projects – UKRI](#).

### **Who can apply?**

Proposals may only be submitted by Research Organisations who are eligible to apply to UK Research and Innovation (UKRI). This includes:

- Higher Education Institutions (HEI) that are directly funded or research by HEFCE, DEL, HEFCW and SFC.
- Independent Research Organisations that have received recognition to apply to the Research Councils.
- Research Council Institutes.

The list of eligible Research Organisations is available on the UKRI website: <https://www.ukri.org/apply-for-funding/before-you-apply/check-if-you-are-eligible-for-research-and-innovation-funding/>

### **Remit for UK Component**

Inter- and trans disciplinary research is especially welcome in this call. For administrative purposes, your application will be routed either through ESRC or AHRC to check eligibility with the call and UKRI requirements. If your funding application is successful, UKRI will be in touch regarding the lead council that your proposal will be funded and managed by.

The UK component of proposals will be deemed ineligible for this call if it falls largely outside the remits of the AHRC and the ESRC:

- AHRC remit guidance is that proposals should draw significantly on arts and/or humanities research literatures, approaches and expertise. Proposals which interface with other Research Council remits or emerge from cross-disciplinary fields such as heritage science, environmental humanities and

public policy and planning, will be welcomed provided that the arts and humanities contribution to their inter-disciplinary approach is made clear in proposals. Further details on the subjects which fall within the AHRC's remit can be found in the AHRC Research Funding Guide and at <https://ahrc.ukri.org/funding/research/subjectcoverage/>.

- ESRC remit guidance is that the ESRC supports independent, high quality research which has an impact on business, the public sector and the third sector. A full list of acceptable ESRC research areas is available on the ESRC website: <https://esrc.ukri.org/funding/guidance-for-applicants/is-my-research-suitable-for-esrc-funding/discipline-classifications/>.

Whilst inter-disciplinary applications beyond the remits of AHRC and ESRC are welcomed, proposals must fall primarily within the remit of one or both councils.

### **International Co-Investigators**

Please note that any academic researcher from an established international research organisation (outside of the participating Country applicants) of comparable standing to a UKRI-eligible UK High Education Institution will be eligible to be listed as an international co-investigator under this call. Further details can be found at: <https://esrc.ukri.org/funding/guidance-for-applicants/inclusion-of-international-co-investigators-on-proposals/> and <https://ahrc.ukri.org/funding/internationalfunding/international-co-investigators/>.

### **Business, Third Sector and Government Co-Investigators**

Eligible costs will potentially be funded at 100% fEC, however the total contributions claimed must not exceed 30% of the total UK national costs on this proposal. Further detail can be found at: <https://esrc.ukri.org/files/funding/guidance-for-applicants/inclusion-of-uk-business-third-sector-or-government-body-co-investigators-on-esrc-proposals/>

### **Cooperation Partners**

The term 'Cooperation Partners' (CPs) is used to refer to Project Partners. The inclusion of CPs is encouraged within the call. CP organisations do not need to be at an eligible Research Organisation. CPs are expected to make an in-kind or financial contribution to a project. CP contributions are treated as additional to the fEC of the proposal submitted. A letter of support is required for each CP.

### **Eligible costs for UK researchers**

In accordance with UKRI's standard funding model, AHRC/ESRC will fund 80% of the Full Economic Cost (FEC) of eligible costs for the UK team participating in successful proposals to this call (apart from those items which are eligible to be funded at the exceptional rate of 100%). The UK budget requested in your proposal should therefore represent the exact funds being requested from UKRI and should be entered as the 80% FEC figure, converted from GBP to Euros.

Applicants are encouraged to consult the ESRC Research Funding Guide (<https://esrc.ukri.org/funding/guidance-for-applicants/research-funding-guide/>) and the AHRC Research Funding Guide <https://ahrc.ukri.org/funding/research/researchfundingguide/> for information about eligible costs that can be requested for UK applicants.



**Please note that no grant associated doctoral studentships linked to a UK team will be funded under this call. If eligible graduate students are employed as Research Assistants, please make their role in the project clear within your Justification of Resources.**

Additional cost eligibility information:

- There is no cap on an individual transnational project. However, a maximum budget of 1, 500, 000 across all participating partners is recommended.
- A budget of one national research team (of which is associated with one Principal Investigator (PI)) should not exceed 50% of the overall CRP budget. Different partners from the same country may request up to 60% of the overall CRP budget, in the event that a country permits more than one PI. **The UK only allows one PI, so the 50% cap is in place for all UK applicants.**
- The UK maximum amount is **750,000 euros (at 100% fEC).**
- **In line with UKRI funding requirements, the UK elements of projects must be costed based on the full economic costs (fEC) of the research, and 80% of these costs can be claimed.** You should keep a record of the 100% fEC budget and headings for your records and audit purposes. **The maximum amount that can be claimed (at 80% fEC) is €600,000.**
- In order to cost the proposal accurately it is advised that applicants should calculate their budget using the directly allocated, directly incurred and indirect headings as a starting point (see info below about filling in the budget form).
- Individual items of equipment that cost more than £10,000 fEC (or equivalent in Euros) cannot be included.
- **The budget must be in Euros. There is no defined exchange rate for this call and you will not be required to state one in the outline application. RO institutional exchange rate policy applies.**
- Once funding recommendations have been made, UKRI will not negotiate with UK applicants to modify the amount of funding but will remove any ineligible costs in line with standard UKRI policies and procedures.

#### **Forms to be submitted**

- Outline Proposal Form
- CHANSE Financial Form - UK completion guidance:
  - Financial details for all UK applicants should be entered in one “UK tab”.
  - The total amount claimed by the UK team in section 17 of the proposal form must directly correspond to the total in Euros being entered into the UK tab.
  - In accordance with the agreement by CHANSE consortium countries, overheads may be calculated up to 20% of all eligible costs.
  - **For UK applicants, overheads should only include Estates costs.** These should be entered in the overheads section of the form and cannot exceed 20% of the total award. **Indirect costs must not be included in the ‘Overheads’ section and should be included as ‘Other Costs’.**
    - Your Research Office should calculate Estates and Indirect costs in the usual way. As per standard UKRI guidelines, Estates and Indirect costs will be reimbursed at 80% FEC.



- Justification of resources should be provided in the budget table for each cost line where indicated. This should be a succinct explanation of the necessity of the resource to the project. Indexation will not be applied post-award to funded projects.
- Letters of support for Cooperation Partners, International Co-Investigators and UK business, third sector or government body co-investigators.
  - Co-I CVs and Head of Department letters, where required in line with AHRC and ESRC's eligibility requirements, should be submitted at the full stage. Please see the below section titled 'Additional info' for further guidance when this is required.

### **Further guidance for completing the UK Tab of the CHANCE Financial Form**

- Personnel costs will be the total for Directly Incurred posts and Directly Allocated posts (paid at 80% FEC), they should also include costs related to staffing under 'Other Directly Allocated' costs. International Co-Investigator salary costs as well as those of any Research Assistants associated, that are usually under 'Other Directly Incurred' costs should be included here at 100% FEC.
- Consumables must be project specific to be eligible for AHRC's/ESRC's funding.
- Equipment, please see relevant section of the AHRC/ESRC Research Funding Guide.
- Travel costs, usually costed at 80% FEC under Directly Incurred Travel and Subsistence costs, please see relevant section of the AHRC/ESRC Research Funding Guide. This section also includes any costs associated with hosting project meetings, which may include costs under 'Other Directly Incurred'.
- Consultants, commissions and subcontracted social survey cost could be included. Please see relevant section of the AHRC's/ESRC's Research Funding Guide.
- Dissemination and Knowledge Exchange costs. Please note that article processing charges (APCs) and other publication charges relating to peer-reviewed research articles and conference proceedings can no longer be included in research grant applications. Costs related to dissemination and knowledge exchange activities, such as venue and catering costs for dissemination events, should be included here (these are usually paid at 80%FEC under Other DI).
- Overhead costs; Please include Estate costs under this heading and refer to the relevant section of the AHRC's/ESRC's Research Funding Guide.
- Other costs should list any costs eligible under the AHRC's/ESRC's Research Funding Guide that cannot be categorised in the above section. This may include indirect costs.

### **Additional information**

Where Principal or Co-Investigators have outstanding reporting requirements on previous/current ESRC grants, ESRC may withhold funding. Please note that this is not applicable for AHRC.

All applicants must be able to meet the time commitment to the project as stated in the proposal. Proposals which may result in over-commitment of time, for any applicant across all of their AHRC/ESRC projects, will not be approved.

A Head of Department letter of support for investigators who are honorary fellows, visiting fellows or on fixed term contracts is required at the full stage.

Any applicants employed as staff for more than 20% of their time in an ESRC Research Centre, Group or Network must obtain a supporting statement from the Director to accompany their proposal. The Director must confirm that the project is not part of the Centre's contracted programme of work and provide a clear explanation of how the project will enhance or complement the Centre's programme. The Director must also confirm that the applicant has time to contribute to the project without prejudice to the Centre's contracted programme of work. This is required at the full stage.

UKRI-funded researchers are expected to comply with the UKRI open access policy (<https://www.ukri.org/funding/information-for-award-holders/open-access/>) on research publications and outputs.

AHRC/ESRC does not allow the resubmission of any previously unsuccessful proposal. This explicitly means that no previously unsuccessful NORFACE/HERA application with a UK applicant can be resubmitted to another AHRC/ESRC scheme. More information can be found on the ESRC website <http://www.esrc.ac.uk/resubmissions> and AHRC website <https://ahrc.ukri.org/peerreview/peer-review-handbook/role-of-the-prc-reviewer/resubmission/>.

### Contact

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